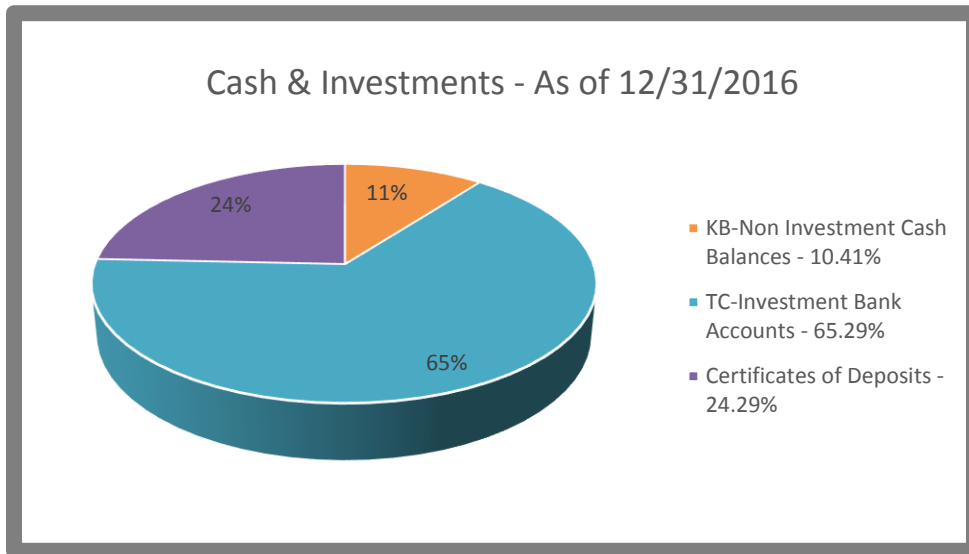




**FY 2016 - 2017**  
**FIRST QUARTER**  
**INVESTMENT REPORT**

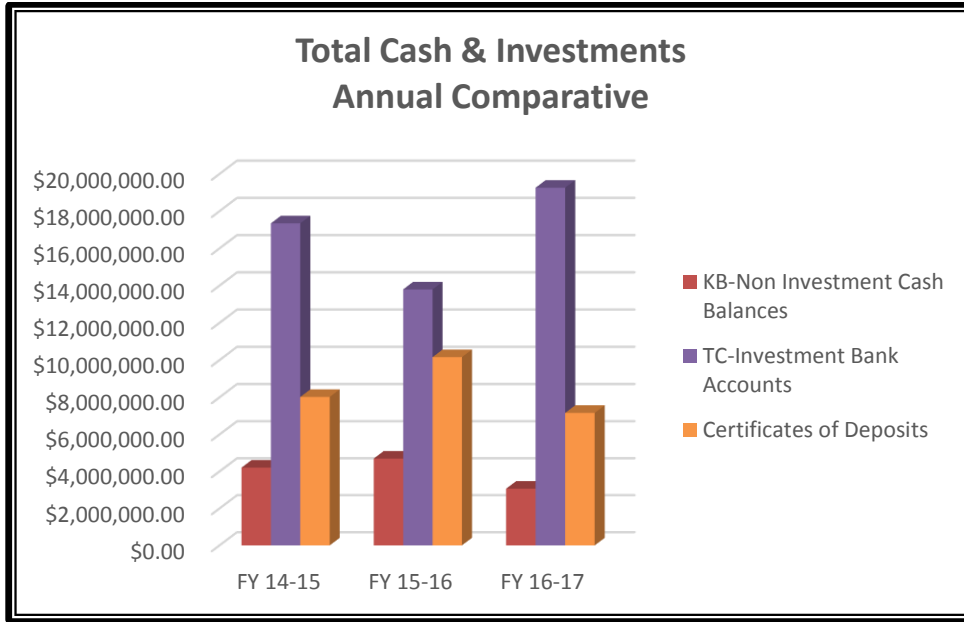
**FOR THE PERIOD ENDING**  
**DECEMBER 31, 2016**

**City of Kingsville  
Total Cash and Investments  
For Quarter Ended 12/31/2016**



<b>Total Cash &amp; Investments</b>	
KB-Non Investment Cash Balances - 10.41%	\$3,072,115.56
TC-Investment Bank Accounts - 65.29%	19,259,889.00
Certificates of Deposits - 24.29%	7,163,313.88
<b>Total Cash &amp; Investments</b>	<b><u><u>\$29,495,318.44</u></u></b>

**City of Kingsville  
Cash and Investments Annual Comparative  
For Quarter Ended 12/31/2016**



**Total Cash & Investments - Annual Comparative  
For 1st Quarter Ended December 31, 2016**

Category	FY 14-15	FY 15-16	FY 16-17
KB-Non Investment Cash Balances	\$4,208,863.57	\$4,698,939.80	\$3,072,115.56
TC-Investment Bank Accounts	17,346,676.93	13,797,141.83	19,259,889.00
Certificates of Deposits	8,020,000.00	10,160,138.02	7,163,313.88
<b>Total Cash &amp; Investments</b>	<b>\$29,575,540.50</b>	<b>\$28,656,219.65</b>	<b>\$29,495,318.44</b>

**CITY OF KINGSVILLE**  
**TOTAL INVESTMENT PERCENTAGES**  
**FOR QUARTER ENDED 12/31/2016**

<b>HOLDING</b>	<b>REQUIREMENT</b>	<b>ACCOUNT BALANCE 12/31/2015</b>
Kleberg Bank - Non Investment Accounts		3,072,115.56
Texas Class - Investment Bank Accounts	Investment Pool Accounts	19,259,889.00
Kleberg Bank - Certificates of Deposit		7,163,313.88
Total Cash and Investments		<u>29,495,318.44</u>
<b>Investment Requirements:</b>		
Percentages in Cash	(at least 35% mature in 90 days or less)	75.71%
Percentage in CD's	(at least 10% in U.S. T Bill or CD's)	24.29%
Percentage in US Treasuries	(not to exceed 10%)	0.00%

City of Kingsville				
Non Investment Cash Accounts				
As of December 31, 2016				
Description	GL Account Number	Bank	Fund	Amount
Petty Cash Accounts	001-0-02000 001-0-02050 001-0-03000	Departments	001	1,840.00
Hot Funds Tax Escrow Account	002-0-04000	Kleberg Bank - 4206	002	678,554.18
Petty Cash Accounts-Tourism	002-0-02050	Department	002	75.00
Police State Forfeiture	005-0-08005	Kleberg Bank - 3870	005	82,191.92
Police State Pending Forfeiture	005-0-08550	Kleberg Bank - 3862	005	830,693.26
Police Federal Forefeiture	028-0-08051	Kleberg Bank - 3854	028	185,728.10
Insurance Fund	138-0-09010	Kleberg Bank - 3730	138	463,980.64
Pooled Cash	700-0-01050	Kleberg Bank - 3676	700	732,910.67
Cash Bond Account	700-0-01070	Bank of South Texas	700	96,141.79
Total Non Investment Cash Account Balances				<u>3,072,115.56</u>

**Certificates of Deposit  
For Quarter Ending 12/31/2016**

Fund	Term	Principal Amount	Interest Rate	Daily Interest	1 <sup>st</sup> Quarter Interest Earned
FD 090 Landfill Closure	10/01/16 – 09/30/17	\$2,028,458.24	.45	\$25.0084	\$2,300.77
FD 138 Insurance	09/29/2016 – 09/25/17	\$101,255.34	.45	\$1.2484	\$114.85
FD 068 Storm Water Drainage	09/26/2016 – 09/25/2017	\$2,025,106.89	.45	\$24.9671	\$2,296.97
FD 051–Utility- 50% FD 001–General- 50%	08/03/2016 – 01/30/2017	\$3,000,000.00	.50	\$41.0959	\$3,780.82
Totals		\$7,154,820.47			\$8,493.41

Number of days in quarter - 92

<b>Investment Summary Comparison</b>					
<b>Qtr Ended 09/30/2016 vs Qtr Ended 12/31/2016</b>					
			<b>TX Class Stmt</b>	<b>TX Class Stmt</b>	
			<b>Qtr Ended</b>	<b>Qtr Ended</b>	
<b>Investment</b>	<b>Account #</b>	<b>Fund</b>	<b>09/30/2016</b>	<b>12/30/16</b>	<b>Net Change</b>
Landfill Closure Acct	TX 01-0170-0007	090	\$39,265.29	\$39,349.22	\$83.93
CMA Savings	TX 01-0170-0008	700	2,345,415.31	790,869.18	(1,554,546.13)
CO 2013 Street Projects - GF	TX 01-0170-0009	067	9,290.38	9,310.24	19.86
CO 2013 Storm Water - UF	TX 01-0170-0010	068	814,627.84	816,369.59	1,741.75
GO Debt Service	TX 01-0170-0011	011	586,798.61	1,132,854.30	546,055.69
Utility Fund Debt Service	TX 01-0170-0012	012	523,794.22	524,914.13	1,119.91
CMA Acct - M&O Taxes	TX 01-0170-0015	700	1,847,178.05	3,829,222.44	1,982,044.39
Self Insurance Fund	TX 01-0170-0018	138	344,635.09	504,174.22	159,539.13
Federal Forfeiture Funds	TX 01-0170-0020	028	1,141.73	1,144.33	2.60
State Forfeiture Funds	TX 01-0170-0021	005	1,636,822.41	1,640,322.12	3,499.71
CO Series 2002	TX 01-0170-0022	039	4,929.57	4,940.05	10.48
State Pending	TX 01-0170-0024	005	116,748.68	116,998.29	249.61
CO 2005 Construction	TX 01-0170-0026	062	108,250.88	108,482.35	231.47
UF Capital Projects FD 054	TX 01-0170-0029	054	1,266,961.42	1,269,670.30	2,708.88
Sanitation Collection Addtl Rev	TX 01-0170-0031	087	226,528.92	227,013.27	484.35
GF Capital Projects	TX 01-0170-0032	091	368,013.50	368,800.37	786.87
CO 2011 - UF 066	TX 01-0170-0034	066	1,079,763.67	1,082,072.27	2,308.60
CO 2016	TX 01-0170-0035	033	6,778,888.47	6,793,382.33	14,493.86
<b>Total Accounts</b>			<b>\$18,099,054.04</b>	<b>\$19,259,889.00</b>	<b>\$1,160,834.96</b>

<b>Texas Class</b>					
<b>Summary Statement</b>					
<b>For 1st Quarter Ended 12/31/2016</b>					
<b>Account</b>	<b>Beginning Balance</b>	<b>Contributions</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>
07-Landfill Closure Acct	\$39,265.29	\$0.00	\$0.00	\$83.93	\$39,349.22
08-CMA Savings	2,345,415.31	0.00	1,558,753.58	4,207.45	790,869.18
09-CO 2013 Street	9,290.38	0.00	0.00	19.86	9,310.24
10-CO 2013 Storm Water	814,627.84	0.00	0.00	1,741.75	816,369.59
11-GO Debt Service	586,798.61	544,513.42	0.00	1,542.27	1,132,854.30
12-Utility Fund Debt Svc	523,794.22	0.00	0.00	1,119.91	524,914.13
15-CMA Acct-M&O Taxes	1,847,178.05	1,977,056.45	0.00	4,987.94	3,829,222.44
18-Self Insurance Fund	344,635.09	758,753.58	600,000.00	785.55	504,174.22
20-Federal Forfeiture	1,141.73	0.00	0.00	2.60	1,144.33
21-State Forfeiture	1,636,822.41	0.00	0.00	3,499.71	1,640,322.12
22-CO Series 2002	4,929.57	0.00	0.00	10.48	4,940.05
24-State Pending Forfeiture	116,748.68	0.00	0.00	249.61	116,998.29
26-CO 2005 Construction	108,250.88	0.00	0.00	231.47	108,482.35
29-UF Capital Projects	1,266,961.42	0.00	0.00	2,708.88	1,269,670.30
31-Sanitation Collection-Addtl	226,528.92	0.00	0.00	484.35	227,013.27
32-GF Capital Projects	368,013.50	0.00	0.00	786.87	368,800.37
34-CO Series 2011-UF	1,079,763.67	0.00	0.00	2,308.60	1,082,072.27
35-CO Series 2016	6,778,888.47	0.00	0.00	14,493.86	6,793,382.33
<b>Totals</b>	<b>\$18,099,054.04</b>	<b>\$3,280,323.45</b>	<b>\$2,158,753.58</b>	<b>\$39,265.09</b>	<b>\$19,259,889.00</b>



<b>City of Kingsville</b>				
<b>Budgeted Project &amp; Capital Outlay Descriptions</b>				
<b>FY 2016-2017</b>				
<b>Investment</b>	<b>Account</b>	<b>Balance</b>	<b>Fund</b>	<b>Project/Usage Description</b>
Landfill Closure Acct	0007	\$39,349.22	090	Landfill Closure Costs
CMA Savings	0008	790,869.18	700	General Fund Savings Account
CO 2013 Street Projects-GF	0009	9,310.24	067	Street Projects
CO 2013 Storm Water-UF	0010	816,369.59	068	Drainage Projects
GO Debt Service	0011	1,132,854.30	011	Debt Service Payments
Utility Fund Debt Service	0012	524,914.13	012	Debt Service Payments
CMA Acct - M&O Taxes	0015	3,829,222.44	700	Held for Debt Service Payments
Self Insurance Fund	0018	504,174.22	138	Held for Insurance Claims
Federal Forfeiture Funds	0020	1,144.33	028	Federal Seizures that have been awarded
State Forfeiture Funds	0021	1,640,322.12	005	Awarded Seizure Cases for PD Expend
CO Series 2002	0022	4,940.05	039	Remaining Unspent Funds
State Pending	0024	116,998.29	005	Holding account until seized funds are awarded
CO 2005 Construction	0026	108,482.35	062	Sewer Lines & Manholes
UF Capital Projects FD 054	0029	1,269,670.30	054	Well #19 & Pumphouse
Sanitation Collection Additional Revenue Fee	0031	227,013.27	087	Debt Service Payments
GF Capital Projects	0032	368,800.37	091	New City Hall
CO 2011 - UF 066	0034	1,082,072.27	066	Water Lines Water Well #25
CO 2016 GF Capital	0035	6,793,382.33	33	Various Capital Projects
Total Accounts		\$19,259,889.00		

## Estimated Fund Balances

### General Funds

Fund	FY 16-17 Beginning Fund	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
<b>001</b>	<b>6,062,278.59</b>	<b>16,946,968.00</b>	<b>1,507,233.00</b>	<b>18,634,841.14</b>	<b>130,844.00</b>	<b>5,750,794.45</b>
025	88,889.93	12,000.00	0.00	100,000.00	0.00	889.93
060	0.00	0.00	0.00	0.00	0.00	0.00
087	350,064.38	506,000.00	0.00	146,473.96	708,889.00	701.42
090	2,479,993.52	270,500.00	197,895.00	1,941,804.00	0.00	1,006,584.52
091	67,609.72	0.00	0.00	0.00	0.00	67,609.72
092	281,936.36	900,000.00	37,229.38	839,998.50	46,206.92	332,960.32
410	0.00	0.00	0.00	0.00	0.00	0.00
<b>Totals</b>	<b>9,330,772.50</b>	<b>18,635,468.00</b>	<b>1,742,357.38</b>	<b>21,663,117.60</b>	<b>885,939.92</b>	<b>7,159,540.36</b>

Fund 001 – General Fund	Fund 003 – General Fund Capital Projects
Fund 025 – Building Security Fund	Fund 060 – Computer Lease Purchase
Fund 087 – Solid Waste Capital Projects	Fund 090 – Landfill Closure Fund
Fund 091 – General Fund Capital Projects	Fund 092 – Street Fund
Fund 410 – Activity Fund	

### Police Forfeiture Funds

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
005	1,496,853.70	100.00	0.00	642,892.00	0.00	854,061.70
028	187,734.05	15.00	0.00	30,000.00	0.00	157,749.05
031	41,563.57	15,000.00	0.00	45,150.00	0.00	11,413.57
<b>Totals</b>	<b>1,726,151.32</b>	<b>15,115.00</b>	<b>0.00</b>	<b>718,042.00</b>	<b>0.00</b>	<b>1,023,224.32</b>

Fund 005 – State Forfeiture	Fund 028 – Federal Forfeiture
Fund 031 – Municipal Court Technology	

### Debt Service – General Fund

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
011	618,994.44	1,507,823.00	122,750.00	1,554,791.00	0.00	694,776.44
<b>Totals</b>	<b>618,994.44</b>	<b>1,507,823.00</b>	<b>122,750.00</b>	<b>1,554,791.00</b>	<b>0.00</b>	<b>694,776.44</b>

### Capital Improvement Funds – General Fund

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
033	6,502,287.80	4,800.00	674,206.92	7,161,257.52	0.00	20,037.20
034	136.88	0.00	0.00	0.00	0.00	136.88
039	4,929.57	0.00	0.00	4,928.16	0.00	1.41
065	2,151.40	0.00	0.00	0.00	0.00	2,151.40
067	71,664.46	0.00	0.00	0.00	39,229.38	32,435.08
<b>Totals</b>	<b>6,581,170.11</b>	<b>4,800.00</b>	<b>674,206.92</b>	<b>7,166,185.68</b>	<b>39,229.38</b>	<b>54,761.97</b>

Fund 033 – CO Series 2016  
 Fund 039 – CO Series 2002-2002A  
 Fund 067 – CO Series 2013

Fund 034 – CO Series 1998  
 Fund 065 – CO Series 2011

### Special Purpose Funds

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
026	0.00	0.00	6,344.00	0.00	0.00	6,344.00
096	756,156.41	0.00	0.00	756,156.00	0.00	0.41
097	0.00	0.00	50,000.00	0.00	0.00	50,000.00
098	0.00	106,000.00	58,600.00	162,995.95	0.00	1,604.05
099	43,015.00	0.00	0.00	24,060.00	0.00	18,955.00
<b>Totals</b>	<b>799,171.41</b>	<b>106,000.00</b>	<b>114,944.00</b>	<b>943,211.95</b>	<b>0.00</b>	<b>76,903.46</b>

Fund 026 – Golf Course Capital Maint  
 Fund 097 – Vehicle Replacement  
 Fund 099 – Disaster Response Recovery

Fund 096 – Insurance Claim Recovery  
 Fund 098 – Economic Development

### Tourism Fund

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
002	579,675.11	527,075.00	0.00	734,361.00	137,756.00	234,633.11
<b>Totals</b>	<b>579,675.11</b>	<b>527,075.00</b>	<b>0.00</b>	<b>734,361.00</b>	<b>137,756.00</b>	<b>234,633.11</b>

**Enterprise – Utility Funds**

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
012	351,513.81	1,000.00	1,549,735.00	1,549,735.00	0.00	352,513.81
<b>051</b>	<b>3,568,021.11</b>	<b>7,946,018.00</b>	<b>7,767.00</b>	<b>5,896,531.00</b>	<b>2,687,880.24</b>	<b>2,937,394.87</b>
054	1,281,511.19	2,000.00	69,295.24	1,377,368.00	0.00	(24,561.57)
055	163,639.76	225,000.00	0.00	1,100.00	293,150.00	94,389.76
062	108,250.88	0.00	0.00	108,162.23	0.00	88.65
066	866,324.67	2,600.00	0.00	333,916.00	280,000.00	255,008.67
068	2,782,588.58	10,000.00	0.00	1,526,329.00	0.00	1,266,259.58
<b>Totals</b>	<b>9,121,850.00</b>	<b>8,186,618.00</b>	<b>1,626,797.24</b>	<b>10,793,141.23</b>	<b>3,261,030.24</b>	<b>4,881,093.77</b>

Fund 012 – Debt Service

Fund 051 – Utility Fund

Fund 054 – Capital Projects

Fund 055 – Storm Water Drainage

Fund 062 – CO Series 2005

Fund 066 – CO Series 2011

Fund 068 – CO Series 2013

**Internal Service Fund – Insurance**

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
138	895,608.84	3,332,782.02	0.00	3,319,000.00	0.00	909,390.86
<b>Totals</b>	<b>895,608.84</b>	<b>3,332,782.02</b>	<b>0.00</b>	<b>3,319,000.00</b>	<b>0.00</b>	<b>909,390.86</b>

**Grant Funds**

Fund	FY 16-17 Beginning Fund Balance	Budgeted Revenues	Budgeted Transfers In	Budgeted Expenditures	Budgeted Transfers Out	FY 16-17 Ending Fund Balance
013	56.76	0.00	0.00	0.00	0.00	56.76
030	2,365.00	0.00	0.00	0.00	0.00	2,365.00
077	0.00	359,100.00	40,900.00	400,000.00	0.00	0.00
078	0.00	37,709.00	0.00	37,709.00	0.00	0.00
079	0.00	150,000.00	0.00	150,000.00	0.00	0.00
082	0.00	200,000.00	0.00	200,000.00	0.00	0.00
<b>Totals</b>	<b>2,421.76</b>	<b>746,809.00</b>	<b>40,900.00</b>	<b>787,709.00</b>	<b>0.00</b>	<b>2,421.76</b>

Fund 013 – Texas Historical

Fund 030 – Red Ribbon Awareness

Fund 077 – FEMA Assistance to Fire

Fund 078 – Texas Parks & Wildlife

Fund 079 – TX Capital Main Street

Fund 082 – TX CDBG

## **Compliance**

The investment portfolio of the City of Kingsville is in compliance as it relates to the adopted Investment Policy.