FY 2015 - 2016

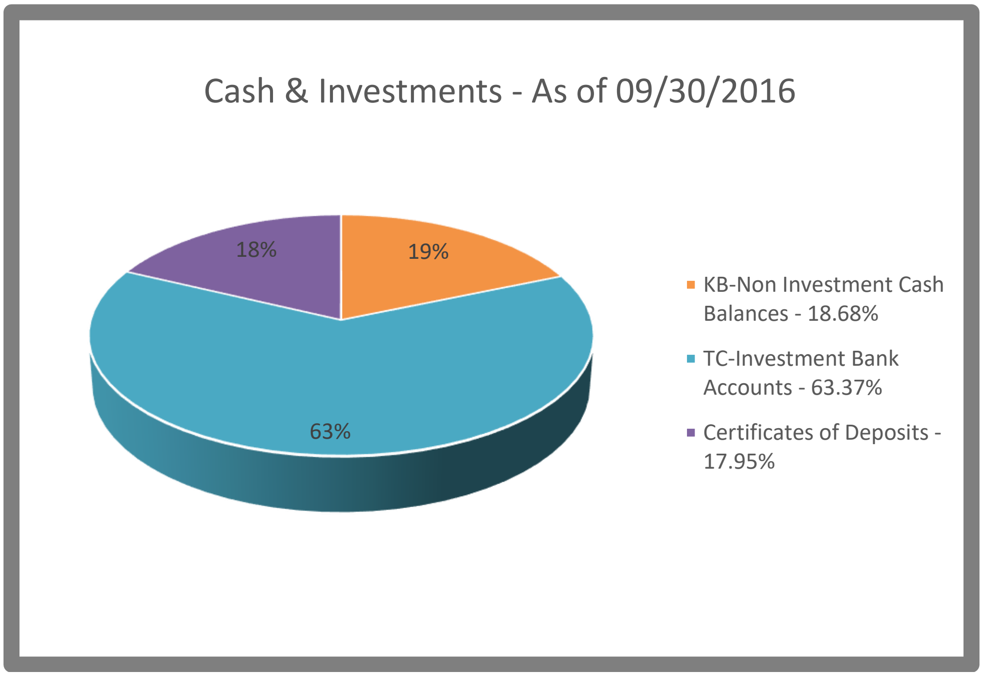
FOURTH QUARTER

INVESTMENT REPORT

FOR THE PERIOD ENDING

SEPTEMBER 30, 2016

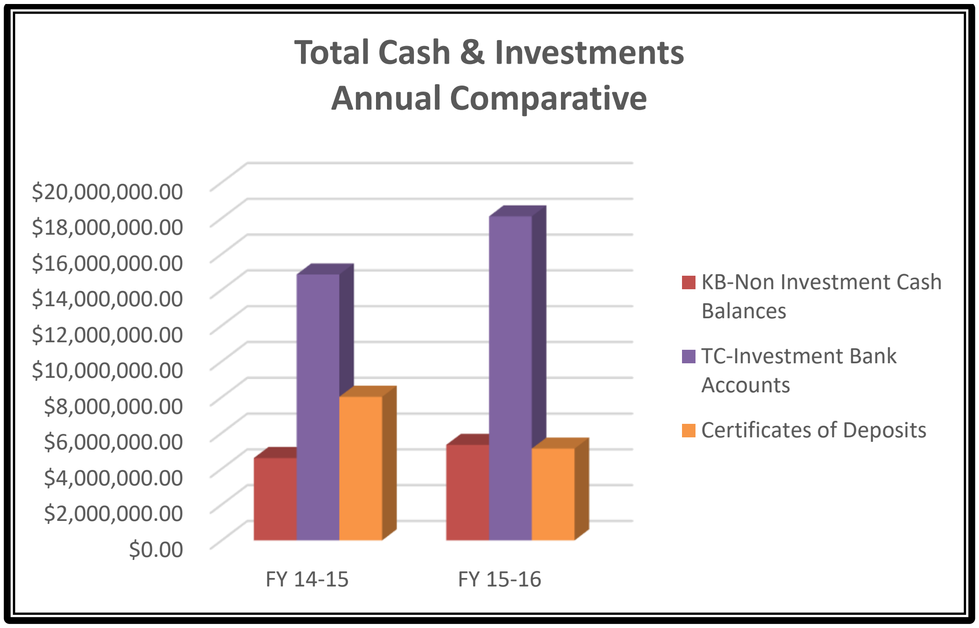
City of Kingsville
Total Cash and Investments
For Quarter Ended 09/30/2016



Total Cash & Investments

KB-Non Investment Cash Balances - 18.68%	$ 5,333,881.60
TC-Investment Bank Accounts - 63.37%	18,099,054.04
Certificates of Deposits - 17.95%	5,126,362.23
Total Cash & Investments	$28,559,297.87

City of Kingsville
Cash and Investments Annual Comparative
For Quarter Ended 09/30/2016



Total Cash & Investments - Annual Comparative
For 4th Quarter Ended 09/30/2016

Category		FY 14-15		FY 15-16
KB-Non Investment Cash Balances	$	4,599,196.13	$	5,333,881.60
TC-Investment Bank Accounts		14,854,328.65		18,099,054.04
Certificates of Deposits		8,020,000.00		5,126,362.23
Total Cash & Investments	$	27,473,524.78	$	28,559,297.87

CITY OF KINGSVILLE
TOTAL INVESTMENT PERCENTAGES
FOR QUARTER ENDED 09/30/2016

HOLDING	REQUIREMENT	ACCOUNT BALANCE 09/30/2016
Kleberg Bank - Non Investment Accounts		5,333,881.60
Texas Class - Investment Bank Accounts	Investment Pool Accounts	18,099,054.04
Kleberg Bank - Certificates of Deposit		5,126,362.23
Total Cash and Investments		28,559,297.87

Investment Requirements:		
Percentages in Cash	(at least 35% mature in 90 days or less)	63.37%
Percentage in CD's	(at least 10% in U.S. T Bill or CD's)	17.95%
Percentage in US Treasuries	(not to exceed 10%)	0.00%

City of Kingsville
Non Investment Cash Accounts
As of September 30, 2016

Description	GL Account Number	Bank	Fund		Amount
Petty Cash Accounts	001-0-02000 001-0-02050 001-0-03000	Departments	001	$	1,840.00
Petty Cash Accounts-Tourism	002-0-02050	Department	002		75.00
Hot Funds Tax Escrow Account	002-0-04000	Kleberg Bank - 4206	002		666,712.94
Police State Forfeiture	005-0-08005	Kleberg Bank - 3870	005		82,181.79
Police State Pending Forfeiture	005-0-08550	Kleberg Bank - 3862	005		830,590.86
Task Force Pending	008-0-04051	Kleberg Bank - 3714	008		0.00
Task Force Program Income	008-0-05050	Kleberg Bank - 3722	008		0.00
Task Force Federal Forfeiture	008-0-08050	Kleberg Bank - 3706	008		0.00
Police Federal Forefeiture	028-0-08051	Kleberg Bank - 3854	028		185,705.20
Insurance Fund	138-0-09010	Kleberg Bank - 3730	138		463,320.23
Pooled Cash	700-0-01050	Kleberg Bank - 3676	700		2,971,427.69
Cash Bond Account	700-0-01070	Bank of South Texas	700		132,027.89
Total Non Investment Cash Account Balances				$	5,333,881.60

City of Kingsville CD's 10/1/15 through 09/30/2016

CD #	Investment	Kleberg Bank Acct #	Fund	Term Days	Purchase Issue Date	Maturity Date	Principal Amount	Daily Interest	# Interest Days	Interest Earned Through 4th Qtr	Interest Rate %	Principal Plus Interest
51571	Landfill Closure	0007	090	365	10/1/2015	9/30/2016	2,019,370.96	24.8964	365	9,062.38	0.45	2,028,433.34
51573	Self Insurance	0018	138	365	9/26/2015	9/26/2016	100,801.60	1.2428	365	453.74	0.45	101,255.34
51575	CO 2013 - UF SW Drainage	0010	068	365	9/24/2015	9/25/2016	2,016,032.00	24.8552	365	9,074.89	0.45	2,025,106.89
51050	General Fund & Utility Fund		001-50% 002-50%	365	8/3/2016	1/30/2017	3,000,000.00	41.0959	58	2,383.56	0.50	3,002,383.56
	Total as of 12/31/2015						7,136,204.56			20,974.57		7,157,179.13

Investment Summary Comparison
Qtr Ended 06/30/16 vs Qtr Ended 09/30/16

Investment	Account #	Fund	TX Class Stmt Qtr Ended 06/30/2016	TX Class Stmt Qtr Ended 09/30/16		Net Change
CO Series 1998	TX 01-0170-0002	034	41,352.81	0.00	(A)	(41,352.81)
Task Force - Forfeit	TX 01-0170-0003	008	50,231.73	0.00	(B)	(50,231.73)
Task Force - Pending	TX 01-0170-0005	008	472,888.98	0.00	(C)	(472,888.98)
Task Force -Program Income	TX 01-0170-0006	008	792,372.48	0.00	(D)	(792,372.48)
Landfill Closer Acct	TX 01-0170-0007	090	39,196.10	39,265.29	(E)	69.19
CMA Savings	TX 01-0170-0008	700	2,814,100.25	2,345,415.31	(F)	(468,684.94)
CO 2013 Street Projects - GF	TX 01-0170-0009	067	9,274.04	9,290.38	(G)	16.34
CO 2013 Storm Water - UF	TX 01-0170-0010	068	1,021,065.43	814,627.84	(H)	(206,437.59)
GO Debt Service	TX 01-0170-0011	011	1,279,937.70	586,798.61	(I)	(693,139.09)
Utility Fund Debt Service	TX 01-0170-0012	012	360,982.29	523,794.22	(J)	162,811.93
CMA Acct - M&O Taxes	TX 01-0170-0015	700	1,725,943.47	1,847,178.05	(K)	121,234.58
Self Insurance Fund	TX 01-0170-0018	138	376,391.18	344,635.09	(L)	(31,756.09)
Federal Forfeiture Funds	TX 01-0170-0020	028	1,139.80	1,141.73	(M)	1.93
State Forfeiture Funds	TX 01-0170-0021	005	513,406.38	1,636,822.41	(N)	1,123,416.03
CO Series 2002	TX 01-0170-0022	039	4,920.81	4,929.57	(O)	8.76
State Pending	TX 01-0170-0024	005	116,542.96	116,748.68	(P)	205.72
CO 2005 Construction	TX 01-0170-0026	062	108,060.06	108,250.88	(Q)	190.82
UF Capital Projects FD 054	TX 01-0170-0029	054	1,392,728.92	1,266,961.42	(R)	(125,767.50)
CO Series 2009	TX 01-0170-0030	064	427.72	0.00	(S)	(427.72)
Sanitation Coll Addtl Rev	TX 01-0170-0031	087	305,693.86	226,528.92	(T)	(79,164.94)
GF Capital Projects	TX 01-0170-0032	091	1,062,572.20	368,013.50	(U)	(694,558.70)
CO 2011 - GF 065	TX 01-0170-0033	065	53,376.28	0.00	(V)	(53,376.28)
CO 2011 - UF 066	TX 01-0170-0034	066	1,391,111.72	1,079,763.67	(W)	(311,348.05)
CO 2016	TX 01-0170-0035	033	6,861,444.78	6,778,888.47	X	(82,556.31)
Total Accounts			20,795,161.95	18,099,054.04		(2,696,107.91)

City of Kingsville
Budgeted Project & Capital Outlay Descriptions
FY 2015-2016

Investment	Account	Balance	Fund	Project/Usage Description	Status
CO Series 1998	0002	0.00	035		
Task Force - Forfeit (Fed)	0003	0.00	008		
Task Force - Pending	0005	0.00	008		
Task Force -Prog Income	0006	0.00	008		
Landfill Closer Acct	0007	39,265.29	090	Landfill Closure Costs	
CMA Savings	0008	2,345,415.31	700	General Fund Savings Account	
CO 2013 Street Projects-GF	0009	9,290.38	067	Street Projects	
CO 2013 Sorm Water-UF	0010	814,627.84	068	Drainage Projects	
GO Debt Service	0011	586,798.61	011	Debt Service Payments	
Utility Fund Debt Service	0012	523,794.22	012	Debt Service Payments	
CMA Acct - M&O Taxes	0015	1,847,178.05	700	Held for Debt Service Payments	
Self Insurance Fund	0018	344,635.09	138	Held for Insurance Claims	
Federal Forfeiture Funds	0020	1,141.73	028	Federal Seizures that have been awarded	
State Forfeiture Funds	0021	1,636,822.41	005	Awarded Seizure Cases for PD Expend	
CO Series 2002	0022	4,929.57	039		
State Pending	0024	116,748.68	005	Holding account until seized funds are awarded	
CO 2005 Construction	0026	108,250.88	062	Sewer Lines & Manholes	
UF Capital Projects FD 054	0029	1,266,961.42	054	Well #19 & Pump house	
CO Series 2009	0030	0.00	064		
Sanitation Collection Additional Revenue Fee	0031	226,528.92	087	Debt Service Payments	
GF Capital Projects	0032	368,013.50	091	New City Hall AV, Furniture, Technology-City Hall	CIP
CO 2011 - GF 065	0033	0.00	065		
CO 2011 - UF 066	0034	1,079,763.67	066	Water Lines Water Well #25	Not Completed
CO 2016 GF Capital	0035	6,778,888.47	33	Various Capital Projects	Not Completed
Total Accounts		18,099,054.04			

City of Kingsville

Estimated Fund Balances

For Fiscal Year Ended September 30, 2016

**Numbers are not final and are based on what has been posted to the ledger at this time. Year end closing is not yet concluded

General Funds:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance	Minimum Required	Over Minimum
001	**5,339,937.42**	**15,902,840.76**	**1,536,960.10**	**17,215,095.74**	**68,249.00**	**5,496,393.54**	**4,320,836.19**	**1,175,557.36**
025	87,413.48	11,085.00	0.00	9,390.55	0.00	89,107.93		**31.80%**
060	198.99	0.00	0.00	0.00	0.00	198.99		
087	208,231.96	494,689.83	0.00	0.00	352,650.00	350,271.79		
090	2,477,572.38	270,264.09	0.00	271,351.40	0.00	2,476,485.07		
091	2,017,596.50	55,958.99	0.00	1,947,705.77	58,240.00	67,609.72		
092	0.00	539,282.72	0.00	319,509.65	0.00	219,773.07		
410	2,917.56	0.00	0.00	0.00	2,917.56	0.00		
Totals	**10,133,868.29**	**17,274,121.39**	**1,536,960.10**	**19,763,053.11**	**482,056.56**	**8,699,840.11**		

Fund 001 - General Fund

Fund 025 - MC Building Security Fund

Fund 060 - Computer Lease Purchase

Fund 087 - Solid Waste Capital Projects

Fund 090 - Landfill Closure

Fund 091 - General Fund Capital Projects

Fund 092 - Street Fund

Fund 410 - Activity Funds

Police Seizure Funds:

Task Force Fund Balance is not shown due to the transfer ocurring in July

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
005	195,118.59	1,378,576.84	0.00	76,050.83	0.00	1,497,644.60
028	32,356.61	159,377.44	0.00	4,000.00	0.00	187,734.05
031	52,524.09	14,806.65	0.00	25,220.17	0.00	42,110.57
Totals	**279,999.29**	**1,552,760.93**	**0.00**	**105,271.00**	**0.00**	**1,727,489.22**

Fund 005 - State Forfeiture Fund

Fund 028 - Federal Forfeiture Fund

Fund 031 - MC Technology Fund

General Fund Debt Service:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
011	579,648.18	1,431,677.04	352,650.00	1,744,980.78	0.00	618,994.44
Totals	**579,648.18**	**1,431,677.04**	**352,650.00**	**1,744,980.78**	**0.00**	**618,994.44**

General Funds Capital Improvement Funds:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
033	0.00	7,032,671.32	250,000.00	780,374.70	0.00	6,502,296.62
034	41,215.93	136.88	0.00	0.00	41,215.93	136.88
039	4,904.43	25.14	0.00	0.00	0.00	4,929.57
064	426.12	2.14	0.00	0.00	427.73	0.53
065	53,199.60	49,987.22	41,215.93	92,283.53	49,967.82	2,151.40
067	367,149.95	46.96	0.00	295,532.45	0.00	71,664.46
Totals	**466,896.03**	**7,082,869.66**	**291,215.93**	**1,168,190.68**	**91,611.48**	**6,581,179.46**

Fund 033 - CO Series 2016

Fund 034 - CO Series 1998

Fund 039 - CO Series 2002-2002A GF

Fund 064 - CO Series 2009-GF

Fund 065 - CO Series 2011 GF

Fund 067 - CO Series 2013 GF Streets

Special Revenue - Tourism Fund:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
002	696,102.14	512,182.33	0.00	535,843.96	75,000.00	597,440.51
Totals	**696,102.14**	**512,182.33**	**0.00**	**535,843.96**	**75,000.00**	**597,440.51**

Special Purpose

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
096	0.00	1,383,412.00	0.00	627,255.69	0.00	756,156.31
099	0.00	0.00	100,000.00	23,280.00	0.00	76,720.00
Totals	**0.00**	**1,383,412.00**	**100,000.00**	**650,535.69**	**0.00**	**832,876.31**

Fund 096 - Insurance Claim Recovery Fund

Fund 099 - Disaster Response Recovery Fund

Enterprise - Utility Funds:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance	Minimum Required	Over Minimum
012	396,791.08	2,314.87	1,547,710.00	1,547,710.00	0.00	399,105.95		
051	**3,653,867.44**	**8,404,335.07**	**0.00**	**5,599,712.38**	**2,655,860.00**	**3,802,630.13**	**2,063,893.10**	**1,738,737.04**
054	1,455,266.76	6,957.66	0.00	180,694.00	0.00	1,281,530.42		**46.06%**
055	229,657.04	227,581.42	0.00	0.00	291,850.00	165,388.46		
062	107,823.73	548.65	0.00	121.50	0.00	108,250.88		
066	1,466,344.98	6,975.19	0.00	596,842.50	0.00	876,477.67		
068	3,222,973.11	4,971.73	0.00	444,556.00	10,000.00	2,773,388.84		
Totals	**10,532,724.14**	**8,653,684.59**	**1,547,710.00**	**8,369,636.38**	**2,957,710.00**	**9,406,772.35**		

Fund 012 - UF Debt Service

Fund 051 - Utility Fund

Fund 054 - UF Capital Projects

Fund 055 - UF Storm Drainage

Fund 062 - CO Series 2005

Fund 066 - CO Series 2011

Fund 068 - CO Series 2013 Drainage

Special Revenue - Insurance Fund:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
138	632,000.21	3,299,544.25	0.00	3,248,971.55	0.00	682,572.91
Totals	**632,000.21**	**3,299,544.25**	**0.00**	**3,248,971.55**	**0.00**	**682,572.91**

Grant Funds:

Fund	Audited Beginning Fund Balance	Estimated Actual Revenues	Estimated Actual Transfers In	Estimated Actual Expenditures	Estimated Actual Transfers Out	Estimated Unaudited Ending Fund Balance
013	56.76	0.00	0.00	0.00	0.00	56.76
030	2,365.02	0.00	0.00	0.00	0.00	2,365.02
079	0.00	0.00	0.00	0.00	0.00	0.00
Totals	**2,421.78**	**0.00**	**0.00**	**0.00**	**0.00**	**2,421.78**

Fund 013 - Texas Historical

Fund 030 - Red Ribbon Awareness

Fund 079 - Texas Capital Fund Main Street